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004

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26161 7390 08/20/2004

FISH & RICHARDSON PC
225 FRANKLIN ST
BOSTON, MA 02110

11/10/2004 JADD02 00000027 061050 09591930

01 FC:1501 1370.00 DA
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Nikia M. Mc Nilton (Depositor's name)
November 9, 2004 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/591,930	06/12/2000	Stephen W. Farnsworth	00P7677US	3694

TITLE OF INVENTION: LENSLESS OPTICAL SERVO SYSTEM FOR AN OPTICALLY ASSISTED DISK DRIVE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$0	\$1330	11/22/2004
EXAMINER	ART UNIT	CLASS-SUBCLASS			
LE, KIMLIEN T	2653	369-044110			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

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- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Fish & Richardson P.C.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (prior or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Infineon Technologies, AG

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Munich, Germany

Please check the appropriate assignee category or categories (will not be printed on the patent):

☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee☐ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies 1

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment Deposit Account Number 06-1050 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature)

(Date)

Kelvin M. Vivian, REG. NO. 53,727

11-09-04

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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TRANSMIT THIS FORM WITH FEE(S)



Attorney's Docket No.: 12754-129001 / 2000P07677US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Stephen W. Farnsworth, et al.
 Serial No. : 09/591,930
 Filed : June 12, 2000

Art Unit : 2653
 Examiner : Kimlien T. Le
 Confirmation No.: 3694

Notice of Allowance Date: August 22, 2004

Title : LENSLESS OPTICAL SERVO SYSTEM FOR AN OPTICALLY ASSISTED
 DISK DRIVE

MAIL STOP ISSUE FEE

Commissioner for Patents
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RESPONSE TO NOTICE OF ALLOWANCE

In response to the Notice of Allowance mailed August 22, 2004, enclosed is a completed issue fee transmittal form PTOL-85b and authorization to charge \$1373 for the required fee to Deposit Account No. 06-1050, including patent copies.

Please apply any additional charges or credits to our Deposit Account No. 06-1050.

Respectfully submitted,

Date: 11-09-04

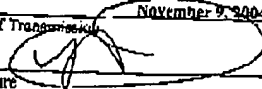

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CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

Date of Transmission: November 9, 2004
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Nikla M. McMillan
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